



# Commercial Fisheries Entry Commission Request for Permanent Transfer for the Purpose of Fleet Consolidation Under AS 16.43.140(c)(5)

PO Box 110302  
Juneau, AK 99811-0302  
Phone: 907-789-6150  
Toll-Free: 1-855-789-6150  
Fax: 907-789-6170  
www.cfec.state.ak.us

## **General Information:**

Please use this form if the proposed transferee already holds the same type of salmon permit (area and gear) except Cook Inlet set gillnet, Cook Inlet drift gillnet and Yakutat set gillnet, otherwise use the *Request for Permanent Transfer of Entry Permit* form.

Limited Entry Permits cannot be:

- Leased
- Pledged
- Mortgaged
- Attached
- Distraigned
- Transferred with any retained right of repossession or foreclosure
- Encumbered in any way, or on any condition requiring a subsequent transfer

Once a permit is transferred, the new owner is the permit holder and no one else can have control or an interest in the permit.

Note that permanent transfers are not official or final until all required documentation has been received and approved by the Commercial Fisheries Entry Commission, even if money has already been paid and documents signed.

Information on financing is available from the DED, phone 907-465-2510 or CFAB, phone 907-276-2007. An entry permit cannot be permanently transferred while it is subject to a lien filed by DED, CFAB, Child Support Services Division (CSSD), or the Internal Revenue Service (IRS). The parties to a permit transfer are advised to take appropriate measures to protect themselves from the risks associated with federal tax liens.

## **Instructions:**

To request a permanent transfer:

- The entire form must be completed by both the permit holder and transferee.
- The current year permit card (if issued) must be returned.
- A \$50.00 transfer fee must be submitted.
- Both parties must have their signatures notarized (they do not have to sign at the same time or place).
- A copy of the "transfer agreement" or "bill of sale" outlining all terms and conditions of the transfer must be submitted providing full disclosure, as required by CFEC regulation 20 AAC 05.1712(c)
- The *Request for Permanent Transfer for the Purpose of Fleet Consolidation* form must be submitted to CFEC within 90 days of being signed and notarized

If a permit is held by a deceased fisherman's estate, only the legally authorized representative of the estate can complete the transfer documents. A copy of the Letters of Administration or Letters Testamentary verifying that person's legal authority must be submitted with the transfer request.

## **Payment Information:**

Payment may be made using the attached credit card authorization and submitted via mail or fax. We also accept checks, money orders, and cashier's checks.



# Commercial Fisheries Entry Commission

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**Please allow up to 2 weeks for processing.** Please complete all sections of this form and submit with the \$50.00 transfer processing fee, the current year permit card (if it has been issued) and a copy of the "transfer agreement" or "bill of sale" outlining all terms and conditions of this transfer as required by CFEC regulation 20 AAC 05.1712(c). If any of the information is not submitted, or if the form is incomplete, there will be delays in processing the request.

Date approved: \_\_\_\_\_ Transfer Officer: \_\_\_\_\_

**PERMIT HOLDER INFORMATION: Must be completed by the current permit holder**

I \_\_\_\_\_ request a permanent transfer of my permit \_\_\_\_\_

Name of Permit Holder (**print clearly**) \_\_\_\_\_ Permit Number \_\_\_\_\_

Permanent Mailing Address \_\_\_\_\_ Social Security Number \_\_\_\_\_ Date of Birth \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_ Phone Number \_\_\_\_\_ Check if Unlisted \_\_\_\_\_ Email Address \_\_\_\_\_

**PROPOSED TRANSFEREE INFORMATION: Must be Completed by the Proposed Transferee**

Name of Transferee (print clearly) \_\_\_\_\_ Social Security Number \_\_\_\_\_ Date of Birth \_\_\_\_\_ ADFG Number \_\_\_\_\_

Permanent Mailing Address \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

Temporary Mailing Address (for mailing of this permit) \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

Phone number \_\_\_\_\_ Check if unlisted \_\_\_\_\_ Email Address \_\_\_\_\_ CITIZENSHIP: U.S. Citizen \_\_\_\_\_ Alien Reg # \_\_\_\_\_

(Enclose a copy of your card)

1. I understand that state law allows me to hold a second permit in a salmon fishery for the purpose of fleet consolidation: YES NO
2. I understand that I may not engage in fishing nor will I be issued a permit for the second permit: YES NO
3. I understand that I may not emergency transfer the second permit, except under 20 AAC 05.1946(3)(B): YES NO

**PERMIT RENEWAL INFORMATION: If this permit has already been renewed for the year, you may skip the following questions about residency**

**Please carefully review the following definition of residency:**

*"For the purpose of assessing fees for the application for, annual issuance of, or renewal of entry and interim-use permits, an individual is a resident of this state if, on the date of permit application, issuance, or renewal, and throughout the 12-month period before that date, that individual maintained their domicile in this state and neither claimed residency in another state, territory, or country nor obtained benefits under a claim of residency in another state, territory, or country." 20 AAC 05.290.*

**Do you qualify as an Alaska resident under this definition?** YES NO

**If you claim Alaska residency, please provide some additional information for verification:**

1. What is your current physical address: (No PO boxes) \_\_\_\_\_
2. Within the previous 365 days, have you resided anywhere else? YES NO If yes, where? \_\_\_\_\_
3. Do you have a current Alaska driver's license or other Alaska ID? YES NO If yes, provide number: \_\_\_\_\_

**AFFIDAVIT:** Both Parties must read and sign under oath before a Notary Public (or Postmaster in Alaska). I swear, under penalty of perjury that the information provided by me on all pages of this form and in all supporting documents is true and accurately describes the terms and conditions of this transfer; that this transfer is not requested as part of, nor in anticipation of, any retained right of repossession or foreclosure, lease, pledge, mortgage, agreement requiring a subsequent transfer, or other encumbrance involving this permit, except as part of a transfer financed in accordance with current law; and that I am not prohibited by law or court order from being a party to this transfer. **WARNING:** If you provide false information on this form, you may be convicted of a Class B felony under AS 11.56.200 and/or a Class A misdemeanor under AS 11.56.210; you may also be subject to administrative fines, suspension of fishing privileges, and revocation of any permits you hold under AS 16.43.960(a). The sentence for a Class A misdemeanor may include a fine up to \$25,000 and/or imprisonment of not more than one year, pursuant to AS 12.55.035 and AS 12.55.135.

**Permit Holder:** \_\_\_\_\_  
Signature

**Proposed Transferee:** \_\_\_\_\_  
Signature

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_ at \_\_\_\_\_

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_ at \_\_\_\_\_

**Notary Public (or Postmaster in Alaska)** \_\_\_\_\_

**Notary Public (or Postmaster in Alaska)** \_\_\_\_\_

Commission Expires: \_\_\_\_\_

Commission Expires: \_\_\_\_\_

## REQUIRED PERMANENT TRANSFER INFORMATION

The information requested on this survey is required for all transfers. All responses are considered confidential and not available for public inspection in any manner that would disclose personal information about you or circumstances of this permit transfer.

### TO BE COMPLETED BY THE PROPOSED TRANSFEREE

1. How did you locate this permit for permanent transfer? (check one answer)
- |  |   |  |
|--|---|--|
| <input type="checkbox"/> Relative or personal friend | <input type="checkbox"/> Commission's list of permits | <input type="checkbox"/> Fish processor        |
| <input type="checkbox"/> Casual acquaintance         | <input type="checkbox"/> Broker                       | <input type="checkbox"/> Advertisement         |
|  |   | <input type="checkbox"/> Other (explain) _____ |
2. What is your relationship to the permit holder? (check one answer)
- |   |   |  |
|---|---|--|
| <input type="checkbox"/> None             | <input type="checkbox"/> Personal friend            | <input type="checkbox"/> Other relative        |
| <input type="checkbox"/> Business partner | <input type="checkbox"/> Member of immediate family | <input type="checkbox"/> Other (explain) _____ |
3. How is the permit being acquired? (check one answer)
- |  |                                |  |
|--|--------------------------------|--|
| <input type="checkbox"/> Permit purchase only                              | <input type="checkbox"/> Gift  | <input type="checkbox"/> Inheritance           |
| <input type="checkbox"/> Combined purchase (with vessel, gear, site, etc.) | <input type="checkbox"/> Trade | <input type="checkbox"/> Other (explain) _____ |
4. What is the purchase price or trade value each item you will obtain in this transfer?
- |                 |                        |
|-----------------|------------------------|
| Permit \$ _____ | Other \$ _____         |
| Vessel \$ _____ | (explain) _____        |
| Gear \$ _____   |                        |
| Site \$ _____   | Total package \$ _____ |
5. What sources of financing are being used?  
Personal Resources (incl. cash) \$ \_\_\_\_\_ If any person or entity is providing any money to help you pay for the permit, please provide the information requested below and you must submit your agreement with that person or entity in writing along with this completed transfer form.  
Name and address of person or entity providing money: \_\_\_\_\_  
Amount of money they are providing \$ \_\_\_\_\_
- |  |                               |
|--|-------------------------------|
| DCCED (Division of Economic Development) \$ _____                                | Trade - list items and values |
| Fish Processor (you must submit the promissory note) \$ _____                    | _____                         |
| AK Comm Fish & Agri Bank (CFAB) \$ _____   | \$ _____                      |
| Bank or other lending institution (you must submit the promissory note) \$ _____ | _____                         |
| Transferor (seller) \$ _____   | Other _____ \$ _____          |
6. If permit is being transferred as a gift, is it being transferred with any terms or conditions? (check your answer)  NO  YES  
If yes, you **MUST** submit the agreement in writing.
7. Is there an agreement concerning future transfers of this permit? (check your answer)  NO  YES  
If yes, you **MUST** submit the agreement in writing.
8. Is there an agreement for you to pay the transferor a portion of your earnings from fishing the permit? (check your answer)  NO  YES  
If yes, you **MUST** submit the agreement in writing.

### TO BE COMPLETED BY THE CURRENT PERMIT HOLDER

1. Are you and/or the transferee using the services of a broker to transfer this permit? (check one answer)  NO  YES  
If yes, which firm or person is acting as broker? \_\_\_\_\_
2. How much are you paying in brokers fees for the permit sale? \$ \_\_\_\_\_
3. What is the net price you will receive from the sale of the permit? \$ \_\_\_\_\_
4. How is the purchase price to be paid to you? (check one answer)  In full at time of transfer  In periodic payments over time
5. What is your reason for transferring the permit? (check one answer)
- |   |   |  |
|---|---|--|
| <input type="checkbox"/> Retirement     | <input type="checkbox"/> Entering a different fishery       | <input type="checkbox"/> Fishing is no longer profitable |
| <input type="checkbox"/> Health problem | <input type="checkbox"/> To pursue a non-fishing occupation | <input type="checkbox"/> Other (explain) _____           |

**THIS SECTION IS OPTIONAL AND IS NOT REQUIRED** The section is protected by the Alaska Human Rights Law AS 18.80.255.

#### **What is your ethnic origin: (check your answers)**

Permit Holder	<input type="checkbox"/> Alaska Native	<input type="checkbox"/> Caucasian	<input type="checkbox"/> Black	<input type="checkbox"/> Asian	<input type="checkbox"/> Hispanic	<input type="checkbox"/> Other _____
Transferee	<input type="checkbox"/> Alaska Native	<input type="checkbox"/> Caucasian	<input type="checkbox"/> Black	<input type="checkbox"/> Asian	<input type="checkbox"/> Hispanic	<input type="checkbox"/> Other _____

Under AS 16.05.815 and AS 16.05.480, social security numbers and other information provided on this form may be used for fisheries research, management and licensing purposes and may be disclosed to: the Alaska Department of Fish and Game, the National Marine Fisheries Service, staff of the Pacific States Marine Fisheries Commission who are employed by the Alaska Fisheries Information Network project, the North Pacific Fishery Management Council, child support enforcement agencies for child support purposes and other agencies or individuals as required by law or court order. Name, address, and licenses held are public information that may be released.



# Commercial Fisheries Entry Commission Credit Card Authorization

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I authorize the Commercial Fisheries Entry Commission (CFEC) to charge the card listed below. This payment is only valid for the items and amount listed.

Please indicate the permit and/or vessel numbers in the space provided and the total amount you are authorizing your card to be charged.

FEES TO BE CHARGED TO CARD		
Item(s)	Enter Permit & Vessel Numbers	Fee Amount
Permit(s):		\$
Vessel(s):		\$
Transfer Fees (\$50):		\$
Duplicate Fees (\$20):		\$
Immediate Fishing (\$80):		\$
Check here to have your licenses express mailed and that you agree to pay the current USPS express mail rate <b>PLUS</b> the CFEC service fee of \$15.00.		
Total amount to be charged		\$

Name of Cardholder: \_\_\_\_\_

Cardholder Phone Number: \_\_\_\_\_

Cardholder Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Card type:      Visa          Mastercard          Discover

Expiration Date: \_\_\_\_ / \_\_\_\_

Credit Card #: \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_

DO NOT EMAIL THIS FORM. PLEASE FAX OR MAIL FORM.