

Commercial Fisheries Entry Commission Instructions for Permanent Transfer of Entry Permit Request

PO Box 110302 Juneau, AK 99811-0302 Phone: 907-789-6150 Toll-Free: 1-855-789-6150 Fax: 907-789-6170 www.cfec.state.ak.us

General Information:

- Entry permits are transferable only through CFEC. Permanent transfer requests that do not comply with CFEC rules will be denied even if the parties have already signed legal documents and/or exchanged money. AS 16.43.170(a).
- The legislature designed the limited entry permit system to ensure commercial fishing privileges in Alaska benefit <u>individuals</u> who <u>actively participate</u> in the fishery. Consequently, the following rules apply to all permanent transfer requests:
 - CFEC regulation 20 AAC 05.1705(a): "Except as provided in AS 16.43.150(g), an entry permit may not be (1) pledged, mortgaged, leased, or encumbered in any way, including any contractual or legal device that purports to require the transfer or forfeiture of a permit or otherwise constrain the rights of the holder to possess or transfer an entry permit; (2) transferred with any retained right of repossession or foreclosure, or on any condition requiring subsequent transfer, even if set out as an alternative to another action; or (3) attached, distrained, or sold on execution of judgment or under any other process or order of any court, or otherwise involuntarily transferred except due to an execution on a holder's interest in a permit as provided in AS 16.43.170(g) and (h) and 20 AAC 05.1700 20 AAC 05.1770."
 - The total number of entry permits that may be held by a person in a specific fishery is restricted by law. It is unlawful to create a 'side' agreement in violation of 20 AAC 05.1705(a) to encumber or control additional permits through a proxy.
 - A proposed transferee must provide facts establishing the transferee's present ability to participate actively in the fishery to which the permit applies. 20 AAC 05.1707(a).
- A prospective purchaser of an entry permit who is a resident of this state may seek financial assistance from the Division of Economic Development (DED), 907-465-2510, or the Commercial Fishing and Agriculture Bank (CFAB), 907-276-2007.
- An entry permit cannot be permanently transferred while it is subject to a lien filed by DED, CFAB, Child Support Services, or the Internal Revenue Service (IRS).

Instructions:

- An applicant for a permanent transfer must first file a Notice of Intent form with CFEC; the Notice of Intent must be on file with CFEC for <u>60 days</u> before the permanent transfer request can be processed. Note: If the mandatory 60-day period cannot be satisfied prior to the upcoming fishing season, the transferor can request a temporary emergency transfer of the permit which would allow the proposed transferee to utilize the permit while the permanent transfer request is pending.
- The transferor and proposed transferee must submit a 'Request for Permanent Transfer of Entry Permit' form to CFEC along with a \$50.00 permanent transfer fee. If the proposed transferee already holds the same type of salmon permit -- except Cook Inlet set gillnet, Cook Inlet drift gillnet or Yakutat set gillnet -- the applicant must submit a "fleet consolidation" form instead.
- The signatures on the 'Request for Permanent Transfer of Entry Permit' form must be notarized, but the parties do not have to sign it at the same time or place. The form must be submitted to CFEC within 90 days of when it was signed. 20 AAC 05.1712(d).
- A copy of the "transfer agreement" or "bill of sale", which discloses the complete terms and conditions of the proposed transfer must be submitted along with the application. 20 AAC 05.1712(c).
- If the permit is to be "gifted" (i.e. transferred to the other party without a fair value exchange of compensation), a 'gift affidavit' must be completed to ensure that the parties understand the nature of the privilege being given away and the applicable rules. If the permit is a gift, please submit a completed 'gift affidavit' (see Attachment A, two pages) along with your application.
- If an embossed permit card has already been issued to the transferor for the current year, the transferor must return the card or provide CFEC with proof that the card has been destroyed.
- If the transferee will be fishing from a vessel that is not licensed for the current year, the transferee must submit a 'Vessel License Application' and pay the applicable fee before an embossed permit card can be issued. 20 AAC 05.560(e).
- **ESTATES**: If a permit is held by a deceased fisherman's estate, only the legally authorized representative of the estate may apply for a permanent transfer of the permit. A copy of the 'Letters of Administration' or 'Letters Testamentary' verifying the person's legal authority to act on behalf of the estate must be submitted with the transfer request.

Payment Information:

Credit card payments may be submitted using the attached credit card authorization form and can be mailed to our office with your transfer form, or alternatively, faxed to (907) 789-6170. Checks, money orders, and cashier's checks may also be submitted with this form and mailed to our office. **Please do <u>NOT</u> email credit card information.**



Commercial Fisheries Entry Commission Permanent Transfer of Entry Permit Request

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Please allow up to 2 weeks for processing. Please complete all sections of this form and submit with the \$50.00 transfer processing fee, the current year permit card (if it has been issued) and a copy of the "transfer agreement" or "bill of sale" outlining all terms and conditions of this transfer as required by CFEC regulation 20 AAC 05.1712(c). If any of the information is not submitted, or if the form is incomplete, there will be delays in processing the request.

icitiys in processing the request.	Da	ate approved:		Transfer Officer:	
PERMIT HOLDER INFORMATION: Must					
I	request a pe	ermanent transfe	of my permit		
Name of Permit Holder (print clearly)		a permanent transfer of my permit		Permit Number	
Permanent Mailing Address	Sc	ocial Security Nur	mber	Date of Birth	
City State Z	Zip Pl	hone Number	 Check if Unlisted	Email Addre	SS
Note: If the notice of intent will not be effective p of the permit to allow the proposed transferee to emergency transfer of the permit for such a purp questions immediately below.	o utilize the perm	nit while the perm	anent transfer request i	s pending. If you	wish to request an
Yes, please issue my permit to the transfe Yes, I have included the additional fee of \$	ree listed below. \$50.00.				
PROPOSED TRANSFEREE INFORMATIC	ON: Must be Co	ompleted by the	Proposed Transferee		
Name of Transferee (print clearly)	Social Se	curity Number	Date of Birth	ADFG Num	ber
Permanent Mailing Address	City		State	Z	ip
Temporary Mailing Address (for mailing of this	permit) City		State	Zi	р
	-	CITIZENS	HIP: U.S. Citizen	Alien Reg #_	-
Phone number Check if unlisted Email Ad	dress		0.0.0112011		copy of green card)
1. I certify that I am physically able					YES
2. I have reasonable access to the			this fishery.	NO	YES
3. I intend to fish this permit during **NOTE: If you are not physically able to pa			o not plan on fiching (NO	YES
attach an explanation of your intent in acqui	ring this permit	t.	o not plan on insting t	the upcoming sea	ison, you will need
If you hold more than one salmon net area per	nit. designate th	e ONE salmon n	et area vou will fish in 2	025:	
PERMIT RENEWAL INFORMATION: If this permit I Please carefully review the following definition o "For the purpose of assessing fees for the applicatior if, on the date of permit application, issuance, or rener and neither claimed residency in another state, territo. Do you qualify as an Alaska resident under this If you claim Alaska residency, please provide s	has already been f residency: 20 A n for, annual issual wal, and throughou ry, or country nor o s definition?	renewed for the y AC 05.290 nce of, or renewal o ut the 12-month pe obtained benefits u YES NO	rear, you may skip the fol of entry and interim-use pe- riod before that date, that i nder a claim of residency in	llowing questions a rmits, an individual is ndividual maintained	a resident of this state their domicile in this sta
1. What is your current physical address: (N	o PO boxes)				
 Within the previous 365 days, have you re Do you have a current Alaska driver's lice 		else? YES Ni ka ID? YES N	O If yes, where? IO If yes, provide number:	·	
AFFIDAVIT: Both Parties must read and sign under oa provided by me on all pages of this form and in all supples not requested as part of, nor in anticipation of, any reransfer, or other encumbrance involving this permit, expourt order from being a party to this transfer. WARNII (1.56.200 and/or a Class A misdemeanor under AS 17.56.200 and/or a Class A misdemeanor under AS 12.55.035 and AS 12.55.135.	porting documents etained right of rep xcept as part of a t <u>VG:</u> If you provide 1.56.210: you may	s is true and accura possession or forec transfer financed in false information o also be subject to	tely describes the terms ar losure, lease, pledge, mort accordance with current la n this form, you may be co administrative fines, suspe	nd conditions of this to gage, agreement req aw; and that I am not nvicted of a Class B insion of fishing privile	ransfer; that this transfe uiring a subsequent prohibited by law or felony under AS eges, and revocation of
Permit Holder:		Propos	ed Transferee:		
Signature			Signa		
Subscribed and sworn to before me this	d	ay of Subs	cribed and sworn to bef	fore me this	day o
, 20 at		-	, 20 at _		
					
Notary Public (or Postmaster in Alaska)			ary Public (or Postmas	-	
Commission Expires:	Commission Expires:				

Revised November 2022

REQUIRED PERMANENT TRANSFER INFORMATION						
TO BE COMPLETED BY THE PROPOSED TRANSFEREE						
1. How did you locate this permit for permanent transfer? (check one answer)Relative or personal friendCommission's list of permitsCasual acquaintanceBroker	Fish processor Advertisement Other (explain)					
2. What is your relationship to the permit holder? (check one answer)						
NonePersonal friendBusiness partnerMember of immediate family	Other relative Other (explain)					
3. How is the permit being acquired? (check one answer) Permit purchase only Gift Combined purchase (with vessel, gear, site, etc.) Trade	Inheritance Other (explain)					
4. What is the purchase price or trade value of each item you will obtain in this transfer? Permit \$						
Gear \$ Site \$ Total package \$						
5. What sources of financing are being used?						
 5. What sources of financing are being used? Personal Resources (incl. cash) \$ If any person or entity is providing any money to help you pay for the permit, please provide the information requested below and you must submit your agreement with that person or entity in writing along with this completed transfer form. Name and address of person or entity providing money: Amount of money they are providing \$ 						
DED (Division of Economic Development) \$	Trade Items Values					
Fish Processor (attach the promissory note) \$	\$					
AK Comm Fish & Agri Bank (CFAB) \$	\$					
Bank or other lending institution \$	\$					
(you must submit the promissory note)						
Transferor (seller) \$	Other \$					
6. If permit is being transferred as a gift, is it being transferred with any terms or If yes, you <u>MUST</u> submit the agreement in writing.	conditions? NO YES					
 7. Is there an agreement concerning future transfers of this permit? NO YES If yes, you <u>MUST</u> submit the agreement in writing. 						
8. Is there an agreement for you to pay the transferor a portion of your earnings from fishing the permit? NO YES If yes, you <u>MUST</u> submit the agreement in writing.						
TO BE COMPLETED BY THE CURRENT PERMIT HOLDER						
1. Are you and/or the transferee using the services of a broker to transfer this permit? NO YES If yes, which firm or person is acting as broker?						
2. How much are you paying in brokers fees for the permit sale?	\$					
3. What is the net price you will receive from the sale of the permit?	\$					
4. How is the purchase price to be paid to you? In full at time of transfer In periodic payments over time						
5. What is your reason for transferring the permit? (check one answer) Retirement Entering a different fishery Health problem To pursue a non-fishing occupation	Fishing is no longer profitable on Other (explain)					
THIS SECTION IS OPTIONAL AND IS NOT REQUIRED The section is protected by the Alaska Human Rights Law AS 18.80.255.						
What is your ethnic origin: Permit Holder: Alaska Native Caucasian Black Asian Transferee: Alaska Native Caucasian Black Asian	Hispanic Other: Hispanic Other:					

Under AS 16.05.815 and AS 16.05.480, social security numbers and other information provided on this form may be used for fisheries research, management and licensing purposes and may be disclosed to: the Alaska Department of Fish and Game, the National Marine Fisheries Service, staff of the Pacific States Marine Fisheries Commission who are employed by the Alaska Fisheries Information Network project, the North Pacific Fishery Management Council, child support enforcement agencies for child support purposes and other agencies or individuals as required by law or court order. Name, address, and licenses held are public information that may be released.



<u>Commercial Fisheries Entry Commission</u> <u>Gift Affidavit Form</u>

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<u>NOTE</u>: This form must be initialed, signed, and notarized by both parties. If the form can't be signed and notarized at the same time and place, it can be signed and notarized separately.

DEFINITIONS:

- **Gift** a voluntary transfer of property from one person to another, free of charge, without anything given in return.
- **Transferor** the person who currently owns the property, who is transferring ownership of the property to the transferee.
- **Transferee** the person being given ownership of the property by the transferor.

CONSEQUENCES OF SIGNING:

By signing this form, the transferor ______(name of current permit holder) and the transferee (the person receiving the permit) understand that **permit number:** ______ is being given as a gift.

The current permit holder will **no longer have any control over the permit** once this form is completed and submitted. This means that the **transferee**:

will be able to fish the permit or choose to sell or transfer the permit to any person they choose without approval from the current permit holder.

Transferor: _____ Transferee: _____

THIS TRANSFER IS PERMANENT:

Any other written, spoken, or implied agreement between the permit holder and transferee that requires the transferee to give the permit back at any time in the future is <u>void</u> under 20 AAC 05.1705(a).

Transferor: _____ Transferee: _____

SUSPENSION OF FISHING PRIVILEGES:

Giving false information on this form can result in either a Class B felony conviction, and/or a Class A misdemeanor under AS 11.56.200 and AS 11.56.210.

Additionally, proving false information on this form <u>can result in suspension of your fishing</u> <u>privileges and revocation of all fishing permits</u> under AS 16.43.960.

The transferor and transferee swear, <u>under penalty of perjury</u>, that all information submitted with the transfer application is <u>true and correct</u>, that this transfer does not violate CFEC regulations, and that neither party is prohibited by law or court order from participating in this transfer.

Permit Holder:

Transferee:

Permit Holder:			
	<i>a</i> .		

Signature Subscribed and sworn to before me this_____ day of _____, 20___ at _____

Notary Public (or Postmaster in Alaska) Commission Expires:

Notary:

Transferee:

 Signature

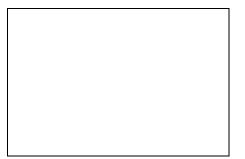
 Subscribed and sworn to before me this

 day of
 , 20

Notary Public (or Postmaster in Alaska) Commissioner Expires:

Notary:







Commercial Fisheries Entry Commission Credit Card Authorization

I authorize the Commercial Fisheries Entry Commission (CFEC) to charge the card listed below. This payment is only valid for the items and amount listed.

Please indicate the permit and/or vessel numbers in the space provided and the total amount you are authorizing your card to be charged.

FEES TO BE CHARGED TO CARD					
ltem(s)	Enter Permit & Vessel Numbers	Fee Amount			
Permit(s):		\$			
Vessel(s):		\$			
Transfer Fees (\$50):		\$			
Duplicate Fees (\$20):		\$			
Immediate Fishing (\$80):		\$			
Check here to have your licenses express mailed and that you agree to pay the current USPS express mail rate PLUS the CFEC service fee of \$15.00.					
Total amount to be charged \$					
Name of Cardholder:					
Cardholder Phone Numb	er:				
Cardholder Signature:	Date:				
Card type: Visa	Mastercard Discover				
Expiration Date:/					
Credit Card #:					
DO NOT EMAIL THIS FORM. PLEASE FAX OR MAIL FORM.					